



OFFICE OF INSPECTOR GENERAL

AUDIT OF THE U.S. AFRICAN DEVELOPMENT FOUNDATION'S FISCAL YEAR 2015 COMPLIANCE WITH THE FEDERAL INFORMATION SECURITY MANAGEMENT ACT OF 2002, AS AMENDED

AUDIT REPORT NO. A-ADF-16-002-P
NOVEMBER 13, 2015

WASHINGTON, D.C.

This is our summary report on the *Audit of the U.S. African Development Foundation's Fiscal Year 2015 Compliance With the Federal Information Security Management Act for 2002, as Amended* (designated as sensitive but unclassified). Referred to as FISMA, the act requires agencies to develop, document, and implement an agency-wide information security program to protect their information and information systems, including those provided or managed by another agency, contractor, or source. FISMA also requires agencies to have an annual assessment of their information systems.

The USAID Office of Inspector General (OIG) contracted with CliftonLarsonAllen LLP (Clifton) to conduct the audit. According to Clifton officials, they performed this audit in accordance with *Government Auditing Standards* issued by the Comptroller General of the United States. The objective was to determine whether the U.S. African Development Foundation (USADF) implemented selected security and privacy controls for selected information systems in support of FISMA, as amended.

To answer the audit objective, Clifton assessed whether USADF implemented selected controls outlined in National Institute of Standards and Technology Special Publication 800-53, Revision 4, *Security and Privacy Controls for Federal Information Systems and Organizations*. Clifton performed audit fieldwork at USADF's headquarters in Washington, D.C., from May 27 to August 12, 2015.

The audit concluded that USADF had not fully implemented its information security program. As a result, the foundation's operations and assets may be at risk of unauthorized access, misuse, and disruption. OIG made 12 recommendations based on Clifton's report to help USADF strengthen its information security program. OIG subsequently acknowledged USADF's management decisions on all the recommendations.

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