



OFFICE OF INSPECTOR GENERAL

AUDIT OF THE INTER-AMERICAN FOUNDATION'S FISCAL YEAR 2013 COMPLIANCE WITH THE FEDERAL INFORMATION SECURITY MANAGEMENT ACT OF 2002

**AUDIT REPORT NO. A-IAF-13-007-P
SEPTEMBER 30, 2013**

WASHINGTON, D.C.

This is a summary of our report on the "Audit of the Inter-American Foundation's Fiscal Year 2013 Compliance With the Federal Information Security Management Act of 2002" (Report No. A-IAF-13-007-P). The Office of Inspector General (OIG) contracted with the independent certified public accounting firm of CliftonLarsonAllen LLP to conduct the audit. Clifton was required to conduct the audit in accordance with generally accepted government auditing standards. The objective was to determine whether the Inter-American Foundation (IAF) implemented selected minimum security controls for selected information systems in support of the Federal Information Security Management Act of 2002 (FISMA).

To answer the audit objective, Clifton assessed whether IAF implemented selected management, technical, and operational controls outlined in National Institute of Standards and Technology Special Publication 800-53, *Recommended Security Controls for Federal Information Systems and Organizations*, Revision 3. Clifton performed audit fieldwork at IAF's headquarters in Washington, D.C., from April 26, 2013 through August 6, 2013.

The audit concluded that IAF implemented 71 of 85 tested security controls in support of FISMA. For example, IAF:

- Established adequate information technology security policies and procedures.
- Implemented an effective incident handling and response program.
- Maintained adequate control over physical access to facilities and the computer room.
- Established adequate processing procedures for bringing on new employees and for employees leaving the organization.

However, Clifton concluded that IAF's operations and assets might be at risk of misuse and disruption. Therefore, the report made eight recommendations to help IAF strengthen its information security program. Although OIG acknowledged IAF's management decisions on each of those recommendations, it did not agree with IAF's management decision for four of them. Therefore, OIG encouraged IAF to revise them to fully address the weaknesses identified in Clifton's audit report.

U.S. Agency for International Development
Office of Inspector General
1300 Pennsylvania Avenue, NW
Washington, DC 20523
Tel: 202-712-1150
Fax: 202-216-3047
<http://oig.usaid.gov>