



OFFICE OF INSPECTOR GENERAL

AUDIT OF USAID'S FISCAL YEAR 2015 COMPLIANCE WITH THE FEDERAL INFORMATION SECURITY MANAGEMENT ACT OF 2002, AS AMENDED

AUDIT REPORT NO. A-000-15-010-P
September 25, 2015

WASHINGTON, D.C.

This is a summary of our report on the *Audit of USAID's Fiscal Year 2015 Compliance with the Federal Information Security Management Act Of 2002, as Amended*. Referred to as FISMA, the act requires agencies to develop, document, and implement an agency-wide information security program to protect their information and information systems, including those provided or managed by another agency, contractor, or source. FISMA also requires agencies to have an annual assessment of their information systems.

The Office of Inspector General (OIG) contracted with the independent certified public accounting firm of CliftonLarsonAllen LLP to conduct the audit. Clifton was required to conduct the audit in accordance with U.S. Government auditing standards. The objective was to determine whether USAID implemented selected security and privacy controls for selected information systems in support of FISMA.

The audit concluded that USAID does not comply with FISMA. Although the Agency has developed and documented the majority of the information security policies and procedures required under the act, USAID has not established an effective risk management program to confirm they are assessed and working as intended. Consequently, the audit found a number of information system weaknesses that, if exploited, could adversely affect the confidentiality, integrity, and availability of USAID's data and information systems, and ultimately could have a negative impact on the Agency's ability to protect the security of its information or information systems.

OIG made 17 recommendations to help USAID improve its information security program and bring it into compliance with FISMA, OMB, and NIST requirements. Management decisions were made on all of them.

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