



OFFICE OF INSPECTOR GENERAL

AUDIT OF THE INTER- AMERICAN FOUNDATION'S FISCAL YEAR 2015 COMPLIANCE WITH THE FEDERAL INFORMATION SECURITY MANAGEMENT ACT OF 2002, AS AMENDED

AUDIT REPORT NO. A-IAF-15-008-P
SEPTEMBER 11, 2015

WASHINGTON, D.C.

This is a summary of our report on the *Audit of the Inter-America Foundation's Fiscal Year 2015 Compliance with the Federal Information Security Management Act of 2002, as Amended* (No. A-IAF-15-008-P). The Federal Information Security Management Act of 2002, as amended, (FISMA) requires agencies to develop, document, and implement an agency-wide information security program to protect their information and information systems, including those provided or managed by another agency, contractor, or other source. The act also requires agencies to have an annual assessment of their information systems.

The Office of Inspector General (OIG) contracted with the independent certified public accounting firm of CliftonLarsonAllen LLP to conduct the audit. According to Clifton, this audit was conducted in accordance with *Government Auditing Standards* issued by the Comptroller General of the United States. The objective was to determine whether Inter-American Foundation (IAF) implemented selected security and privacy controls for selected information systems in support of FISMA.

To answer the audit objective, Clifton assessed whether the foundation implemented selected controls outlined in National Institute of Standards and Technology Special Publication 800-53, Revision 4, *Recommended Security Controls for Federal Information Systems and Organizations*,. Clifton performed audit fieldwork at IAF's headquarters in Washington, D.C., from February 24 through June 19, 2015.

The audit concluded that IAF implemented 93 of the 105 tested. For example, it did the following:

- Implemented change management policy and procedures.
- Implemented effective incident reporting procedures.
- Maintained adequate control over physical access to facilities and the computer room.
- Established adequate processing procedures for bringing on new employees.

Based on Clifton's report, OIG made nine recommendations to help the foundation strengthen its information security program. OIG acknowledged the foundation's management decisions on each of those recommendations.

U.S. Agency for International Development
Office of Inspector General
1300 Pennsylvania Avenue, NW
Washington, DC 20523
Tel: 202-712-1150
Fax: 202-216-3047
<http://oig.usaid.gov>
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