



Office of Inspector General



Office of Inspector General

Fiscal Year 2014 Coordinated Audit Plan

of the

**U.S. Agency for International Development
Department of State
Department of Health and Human Services
Offices of Inspector General**

for

**Oversight of Foreign Assistance to Combat
HIV/AIDS, Tuberculosis, and Malaria**

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FOREWORD

The Offices of Inspector General of the U.S. Agency for International Development (USAID), the U.S. Department of State, (State) and the U.S. Department of Health and Human Services (HHS) prepared this FY 2014 coordinated audit plan for Office of the Global AIDS Coordinator (OGAC) funds.

The coordinated plan is required by Section 101(f)(1) of the United States Leadership Against HIV/AIDS, Tuberculosis, and Malaria Act of 2003 (22 U.S.C.) as amended by the PEPFAR Stewardship and Oversight Act, Pub. L. 113–56 (Dec. 2, 2013). The coordinated plan is extended through 2018 and covers oversight activities related to foreign assistance to combat HIV/AIDS, tuberculosis, and malaria.

Consistent with past practice, the Offices of Inspector General will continue to coordinate their activities, with the Government Accountability Office, as well as with the Offices of Inspector General of the Departments of Commerce, Defense, and Labor and of the Peace Corps. We have also shared this plan with the Office of the Global AIDS Coordinator and the U.S. Global Malaria Coordinator.

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U.S. Agency for International Development Office of Inspector General

The U.S. Agency for International Development Office of Inspector General plans to conduct 14 performance audits in FY 2014. The cost of conducting and completing these oversight activities in FY 2014 is estimated at approximately \$2.0 million. In addition, USAID requires that overseas recipients that expend \$300,000 or more in USAID awards during their fiscal year obtain an independent financial audit of those funds. OIG will review those financial audits performed on OGAC-related funds as they are provided by USAID or its overseas recipients. The cost of this activity is estimated at \$372,000.

- **Audit of USAID/ Zambia's HIV Prevention Activities**

Objective: Determine whether the program is effectively preventing the spread of HIV.

- **Audit of USAID/West Africa's HIV/AIDS Prevention Program in Cameroon**

Objective: Determine whether the program is contributing to the reduction of new HIV infections among the most-at-risk populations.

- **Audit of USAID/Nicaragua's HIV/AIDS Prevention Program**

Objective: Determine whether the program is increasing healthy behaviors to reduce HIV transmission among most-at-risk populations.

- **Audit of USAID/Vietnam's Pathways for Participation Project**

Objective: Determine whether USAID/Vietnam implemented a system to monitor grantee projects and obtain project results.

- **Audit of USAID/Liberia's Tuberculosis and HIV/AIDS Activities**

Objective: Determine whether the project is improving Liberia's health system and increasing access to quality HIV and Tuberculosis services.

- **Audit of USAID/Ethiopia's Tuberculosis Programs**

Objective: Determine whether USAID/Ethiopia's TB programs are meeting their goals of improving and expanding TB diagnostic and screening services in rural areas and among HIV-positive persons.

- **Audit of USAID/Regional Development Mission for Asia's Behavior Change Communication for Infectious Disease Prevention Project**

Objective: Determine whether the project is meeting its goal by increasing an effective regional response to mitigate these diseases.

- **Audit of USAID/Regional Development Mission for Asia's Greater Mekong Sub-Region Malaria Control Project**

Objective: Determine whether the project is achieving its objectives regarding interventions to control malaria transmission, improving the quality and effectiveness of diagnosis, reducing bottlenecks at local institutions, and supporting strategic information for malaria control.

- **Audit of USAID/Madagascar's Procurement and Distribution of Long Lasting Insecticide Treated Nets Funded Under the President's Malaria Initiative**

Objective: Determine whether the Long Lasting Insecticide Treated Nets funded under the President's Malaria Initiative are reaching intended beneficiaries in Madagascar and being used as intended.

- **Audit of USAID Tanzania's Scale-Up of Indoor Residual Spraying on Mainland Tanzania**

Objective: Determine whether the USAID/Tanzania effectively and efficiency met its FY 2013 goal for spraying in the Mqanza and Mwara regions.

- **Audit of USAID/Kenya's AfyaInfo Project**

Objective: Determine whether the project is on schedule to establish an integrated national health information system that will improve health service delivery and will meet USAID preaward assessment standards.

- **Audit of USAID/Rwanda's Family Health Project**

Objective: Determine whether the project is achieving its goals of expanding access and improving the quality of, increasing demand for, and strengthening management of health care facilities and community-based family health services.

- **Audit of USAID/Southern Africa's Local Capacity Development Activities**

Objective: Determine whether the mission's activities are strengthening local civil society capacity to improve aid effectiveness and sustainability.

- **Audit of USAID/Central Asian Republics' Quality Health Care Project for Central Asia Program**

Objective: Determine whether the mission's Quality Health Care Project is strengthening health systems and services to more effectively meet the needs of vulnerable populations by increasing use of health services and improving health outcomes.

U.S. Department of State Office of Inspector General

The U.S. Department of State, Office of Inspector General, plans to conduct one compliance follow up audit and will assess chief of mission oversight and support of the President's Emergency Plan for AIDS Relief (PEPFAR) programs during seven inspections of overseas embassies in FY 2014. The estimated cost of conducting these oversight activities in FY 2014 is \$310,000.

- **Compliance Follow up Audit of the Audit of Construction and Transfer of Ownership of the President's Emergency Plan for AIDS Relief (PEPFAR) Overseas Construction Projects**

Objectives: To (1) assess the Department's actions to implement the remaining open recommendation and determine whether the recommendation should be closed or reissued and (2) determine whether the Department's implemented actions on closed recommendations have corrected the original deficiencies found.

- **Review of Chief of Mission Oversight and Support for Programs Funded by the Office of the Global AIDS Coordinator**

Objective: Assess the adequacy of chief of mission oversight and support for programs funded by the Office of the Global AIDS Coordinator. Work will be performed in Afghanistan, Burundi, Cote D'Ivoire, Georgia, Mali, Peru, and Uganda.

U.S. Department of Health and Human Services Office of Inspector General

The U.S. Department of Health and Human Services (HHS) Office of Inspector General (OIG) plans to complete eight reviews in FY 2014. The cost of completing these oversight activities is estimated at \$663,000.

- **Six Reviews of In-Country Recipients of PEPFAR Funds Awarded by the Centers for Disease Control and Prevention**

Objective: Determine whether recipients managed federal funds and met program goals in accordance with the award requirements. HHS OIG has reviewed the ministries of health in Ethiopia and Zambia and two other FY 2010 PEPFAR fund recipients in each country. These reviews focused on Global health and Child Survival funds that HHS received from the Department of State under the Foreign Operations appropriation during FY 2010.

- **Review of the Centers for Disease Control and Prevention's HIV/AIDS Program in Ethiopia and Coordination with USAID**

Objective: Determine whether certain CDC HIV/AIDS prevention, treatment, and care activities in Ethiopia achieved their main goals under the Partnership Framework. In addition, HHS OIG coordinated with USAID staff to identify programmatic synergies and potential redundancies.

- **Review of Centers for Disease Control and Prevention's Award Process for the President's Emergency Plan for AIDS Relief Cooperative Agreements**

Objective: Determine whether the award process for cooperative agreements that CDC awarded under the PEPFAR program complies with applicable laws, regulations, and departmental guidance. HHS will include awards made to both foreign and domestic recipients.

Points of Contact

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