



Office of Inspector General

Fiscal Year 2015 Coordinated Audit Plan

of the

**U.S. Agency for International Development
Department of State
Department of Health and Human Services
Offices of Inspector General**

for

**Oversight of Foreign Assistance to Combat
HIV/AIDS, Tuberculosis, and Malaria**

2015

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FOREWORD

The Offices of Inspector General of the U.S. Agency for International Development (USAID), the U.S. Department of State (State), and the U.S. Department of Health and Human Services (HHS) prepared this FY 2015 coordinated audit plan for providing oversight of foreign assistance to combat HIV/AIDS, tuberculosis, and malaria. The coordinated plan is required by Section 101(f)(1) of the United States Leadership Against HIV/AIDS, Tuberculosis, and Malaria Act of 2003 (22 U.S.C.) as amended by the PEPFAR Stewardship and Oversight Act, Pub. L. 113–56 (December 2, 2013).

Consistent with past practice, the Offices of Inspector General will continue to coordinate their activities, with the Government Accountability Office, as well as with the Offices of Inspector General of the Departments of Commerce, Defense, and Labor and of the Peace Corps. We have also shared this plan with the Office of the Global AIDS Coordinator and the U.S. Global Malaria Coordinator.

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U.S. Agency for International Development Office of Inspector General

The U.S. Agency for International Development Office of Inspector General plans to conduct six performance audits in FY 2015. The cost of conducting and completing these oversight activities in FY 2015 is estimated at approximately \$1.8 million. In addition, USAID requires that overseas recipients that expend \$300,000 or more in USAID awards during their fiscal year obtain an independent financial audit of those funds. OIG will review those financial audits performed on PEPFAR-related funds as they are provided by USAID or its overseas recipients. The cost of this activity is estimated at \$300,000.

- **Review of PEPFAR Coordination Activities**

This review will assess PEPFAR coordination across Africa and Asia. The OIG's offices in Pretoria, Dakar, and Manila will perform fieldwork in their respective regions. The review will assess the effectiveness of PEPFAR coordination by examining the role of PEPFAR's country coordinators and the extent to which they provide guidance, facilitate coordination, de-conflict overlap and duplication, and avoid fragmentation among U.S. agencies and implementing partners.

Objective: Is PEPFAR coordination among U.S. government agencies and USAID's implementing partners efficient and effective?

- **Audit of USAID/India's HIV/AIDS Partnership: Impact Through Prevention, Private Sector, and Evidence-Based Programming.**

Objective: Is USAID/India's proposed HIV/AIDS Partnership: Impact through Prevention, Private Sector and Evidence-Based Program achieving its goal to reverse the HIV epidemic at the national and state level by strengthening institutional and human capacity in prevention programs and private sector engagement through innovative approaches?

- **Audit of USAID/South Africa's Transition of its Clinical Care and Treatment Program**

Objective: Has the transition of care and treatment activities from USAID to the South African government been timely and effective for continued service delivery?

- **Audit of USAID/Zambia's HIV/AIDS Commodity Management**

Objective: Are USAID-funded HIV commodities reaching their intended beneficiaries in Zambia?

- **Audit of USAID/Madagascar’s Indoor Residual Spraying Program funded under the President’s Malaria Initiative (PMI)**

Objective: Has USAID/Madagascar’s PMI-funded indoor residual spraying program reached intended beneficiaries?

- **Audit of USAID/Senegal’s Community Health Program**

Objective: Is USAID/Senegal achieving its goals to expand community health services, increase coverage areas, and promote sustainability through community involvement and harmonization with national health efforts?

U.S. Department of State Office of Inspector General

The U.S. Department of State, Office of Inspector General, plans to assess chief of mission oversight and support of the President's Emergency Plan for AIDS Relief (PEPFAR) programs during two inspections of overseas embassies in FY 2015. The estimated cost of conducting these oversight activities in FY 2015 is \$20,000.

- **Review of Chief of Mission Oversight and Support for Programs Funded by the Office of the Global AIDS Coordinator**

Objective: Assess the adequacy of chief of mission oversight and support for programs funded by the Office of the Global AIDS Coordinator. Work will be performed in Kazakhstan and Tajikistan.

U.S. Department of Health and Human Services Office of Inspector General

The U.S. Department of Health and Human Services (HHS) Office of Inspector General (OIG) plans to complete two reviews in FY 2015. The cost of completing these oversight activities is estimated at \$114,000.

- **Summary Report on 13 Reviews of In-Country Recipients of PEPFAR Funds Awarded by the Centers for Disease Control and Prevention**

Objective: Prepare a summary report to the Centers for Disease Control and Prevention (CDC) on the results of our reviews of PEPFAR fund recipients. The objective of those reviews was to determine whether recipients managed federal funds and met program goals in accordance with the award requirements. During FYs 2012 through 2014, HHS OIG has conducted reviews of 13 CDC PEPFAR fund recipients in Ethiopia, Namibia, South Africa, Vietnam, and Zambia.

- **Review of Centers for Disease Control and Prevention's Award Process for the President's Emergency Plan for AIDS Relief Cooperative Agreements**

Objective: Determine whether the award process for cooperative agreements that CDC awarded under the PEPFAR program complies with applicable laws, regulations, and departmental guidance. HHS will include awards made to both foreign and domestic recipients.

Points of Contact

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