

# Ukraine Investigations Dashboard

Updated on 7/31/2023  
(Material included: February 2022 – July 31, 2023)

<b>USAID Humanitarian Assistance (HA) Funding *</b>	<b>\$1,938,187,233</b>
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\* Funding that has been committed or obligated as of July 17, 2023.

<b>Complaints/Disclosures by Country (since Feb 2022)</b>	
Ukraine	264
Moldova	1

<b>Complaints/Disclosures by Allegation (since Feb 2022)</b>	
Complaints from Ukrainian citizens with allegations of fraud within the government, military, police, and local organizations. Nexus to USAID to be determined.	177
Non-viable	14
Missing social service salary or stipend payments	13
Theft/Diversion	12
Conflict of Interest/Ethics	10
False Claim	10
Program Fraud	9
Procurement Fraud	6
Mismanagement	5
Bribery/Gratuity/Kickback	5
False Statement	4
Program disruption due to military conflict	3
Dual employment	2
Sexual Exploitation & Abuse	1
Loss/Destruction of commodities	1
Product Substitution	1

<b>Outreach/Liaison Meetings*</b>	<b>Date(s)</b>
Met with Save the Children fraud and compliance managers to discuss reporting and working together. Save the Children also has a fraud manager in Kyiv.	5/16/23
Met with USAID/Ukraine Mission Director to discuss Special Agents that will be arriving in Kyiv as their permanent duty station.	5/22/23
Met with Country Attaché, U.S. Drug Enforcement Administration, U.S. Embassy/Kyiv about willingness to assist OIG.	5/23/23
Met with the Legal Attaché, Federal Bureau of Investigation concerning discussed established partnerships with Ukrainian law enforcement.	5/23/23
Met with the Deputy Director, State Bureau of Investigation, Kyiv, Ukraine to finalize the draft of a Memorandum of Understanding between OIG and the SBI. The Deputy Director expressed interest in collaborating with OIG as both agencies' goals and investigative responsibilities are similar.	5/24/23
Met with Mercy Corps risk management and compliance staff covering Ukraine to discuss OIG oversight and working together.	6/1/23
Met with Project Hope Ukraine Country Director, Compliance Manager and General Counsel to discuss reporting and OIG oversight.	6/2/23
Follow-up meeting with Project Hope's Vice President to discuss OIG's feedback on their reporting to date and if any improvements could be made.	6/9/23
Met with the head of Ukraine's Open Contracting Partnership and Chair of the RISE Coalition, which is managing the DREAM pilot project, a government-managed electronic platform to receive reconstruction project proposals.	6/15/23
Met with Save the Children fraud and compliance staff to discuss procedures regarding Ukraine based complaints. OIG requested Save the Children to continue to conduct their own investigations absent a request from OIG to hold. The group also discussed data sharing procedures and interacting with Save the Children staff based in Ukraine.	6/28/23
Met with newly arrived USAID Supervisor Regional Legal Officer (RLO). Discussed OIG ASAC role as supervisor for the region. Also discussed arrival of new OIG agents. RLO reported strong willingness within Mission leadership to work with OIG and the Office of Investigations in particular.	6/30/23
Met with International Medical Corps Deputy Chief Compliance Officer responsible for compliance, fraud, and safeguarding investigations. Discussed reporting/disclosure expectations regarding Ukraine matters and information sharing.	7/13/23

\* Recurring interagency meetings are not included in this list. Recurring interagency meetings include: monthly 3IG meetings (DoD, State, USAID); monthly Ukraine Interagency Working Group meetings; monthly Ukraine Investigations Working Group meetings; biweekly internal Ukraine Coordination Team Meetings; etc.

<b>Interagency meetings held (since Feb 2022)</b>	<b>75</b>
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<b>TDYs</b>		
<b>Traveler(s)</b>	<b>Dates</b>	<b>Locations</b>
Senior Advisor & Special Agent	5/21/23 - 5/26/23	Kyiv, Ukraine
Senior Advisor & Inspections Team	6/10/23 - 6/16/23	Kyiv, Ukraine

<b>Fraud Awareness Briefings</b>		
<b>Location</b>	<b>Participants</b>	<b>Date</b>
*None conducted during this reporting cycle.		



Case No.	Allegation	Closed Case Summaries*
<b>Ukraine</b>		
HQ-HQ-22-1130-G	Program Disruption due to Military Conflict	In April 2022, OIG received information that a sub-awardee of an implementer suspended their operation due to the escalation of hostilities in Mariupol, Ukraine.
LA-H0-22-1294-G		In April 2022, OIG received information from an implementer that was unable to spend funding due to the ongoing conflict in Mariupol, Ukraine.
C2301200		In March 2023, OIG received information from an implementer that a city outpatient clinic in Lyman, Donetsk region was not providing health services due to hostilities in the area.
HQ-HQ-22-1164-R	Theft/Diversion	In April 2022, OIG received information from an International Organization (IO) that supplies were stolen in Lviv, Ukraine. OIG referred the case to USAID/Bureau for Policy, Planning, and Learning.
23-0266		In November 2022, OIG received information from an implementer that hygiene commodities were tampered with during delivery to a warehouse in Lviv. The reported loss amount was \$131.
23-0347		In November 2022, OIG received a disclosure from an IO reporting the theft of blankets from a warehouse in Uzhgorod. The reported loss amount was \$14.
C2300387		In December 2022, OIG received information from an implementer about excess and improper distribution of hygiene kits to beneficiaries. The reported loss amount was \$423.
C2300513		In December 2022, OIG received information from an implementer that a laptop was stolen from a project staff member's car in Sauvignon, Odessa.
C2301259		In March 2023, OIG received a report from an IO that one bale of blankets went missing during shipping. The loss amount was \$81.
LA-H0-22-1432-G	False Claim	In June 2022, OIG received information from an implementer that a manager in Ukraine asked an employee to participate in an incentive-based payment scheme on construction work that was already completed. The employee declined to participate in the scheme and notified the implementer's Ukraine Country Director of the potential fraud.
LA-H0-22-1433-G		In June 2022, OIG received information from an implementer reporting false incentive payments. The report alleged that an International Non-Governmental Organization sub-awardee inquired about payments for the procurement of medical supplies as part of a possible fraud scheme.
AF-H1-22-1540-I		In July 2022, OIG received information from an implementer alleging which that one of their consultants was hired by three separate projects that overlapped for roughly one month. One project covered activities in Ukraine. The implementer's investigation did not find any wrongdoing in the consultant's billable hours.
P23-0265		In November 2022, OIG received information from an implementer alleging fraud in connection with the BHA-funded, Ukraine Cash Consortium program. The allegation was that a local partner submitted altered bank statements and bank receipts as supporting documents to their September 2022 financial report.
P23-0268		In November 2022, OIG received information from an implementer alleging fraud in connection with the BHA-funded, Ukraine Cash Consortium program. The allegation was that a local partner submitted a false invoice. The implementer determined that the invoice was false, however, it was submitted by a former staff member. According to the disclosure, the estimated amount of loss was not determined.

C2300758		In February 2023, OIG received information from an implementer alleging that a sub-awardee staff member altered bank statements submitted to the Ukraine Cash Consortium program as part of their December monthly financial report on January 10, 2023. The subject admitted to making changes on multiple monthly statements to cover an expenditure of approximately \$55 USD to the sub-awardee president.
C2301570		In May 2023, OIG received information from an implementer alleging that their sub-implementer falsified reports about project activities. The sub-implementer admitted that the activities were not carried out and the prime canceled their contract.
LA-FR-22-1850-G	Conflict of Interest/Ethics	In August 2022, OIG received information from an implementer alleging that a staff member did not disclose a conflict of interest in the Ukraine Confidence Building Initiative III (UCBI III) Program. The subject submitted a referral for her husband for a position without disclosing their relationship and sat on his interview panel. The implementer also discovered that the subject shared interview questions with another candidate for a different UCBI III position.
C2300881		In March 2023, OIG received a disclosure from an implementer alleging that one of their employees was related to the director of a sub-implementer. The implementer substantiated the allegation.
C2301034		In March 2023, OIG received a disclosure from an implementer about an undisclosed conflict of interest between an employee and a vendor providing training activities for doctors. The subject was employed as an assistant by the vendor when they developed the training program.
LA-H0-22-1977-G	Loss/Destruction of Commodities	In September 2022, OIG received information that 33 hygiene kits were destroyed when Russian military forces shelled a distribution point in Mala Tokmachka Village, Ukraine.
LA-H0-23-0014-G	Collusive Bidding	In October 2022, OIG received information from an implementer reporting vendor collusion in connection with a USAID-funded program Ukraine. During the bid selection phase, the implementer realized that three companies were created by the same individual and presented similar bid proposals. The implementer refused the submissions and there was no loss to the government. On December 5 <sup>th</sup> , 2022, OIG issued a Fraud Alert detailing this scheme.
LA-H0-23-0221-G	Program fraud	In November 2022, USAID/Ukraine reported that an implementer was approached by two Ukrainian prosecutors who requested documents and records in accordance with Ukrainian law.
C2301069		In March 2023, OIG received a disclosure from an implementer alleging that an employee instructed her subordinates to falsify project documentation to side-step administrative processes. The implementer confirmed the allegation and gave the subject a written warning.
C2302093		In July 2023, OIG received information from an IO alleging that trainings and program activities documented exaggerated numbers of participants. There was no financial loss to USAID. The IO is continuing to investigate the matter.
C2300871	Procurement fraud	In February 2023, OIG received a disclosure from an implementer reporting that an applicant submitted a claim letter to them alleging a lack of transparency and follow-up communication regarding bid results.
C2301583		In May 2023, OIG received a complaint from an applicant for USAID funding under an implementer that the process was unfair and alleged contract steering. USAID Ukraine looked into the matter and unsubstantiated the allegation.
C2300447	Mismanagement	In December 2022, OIG received a report from an implementer that they evacuated their project office due to military activity and left behind hard copies of information listing project staff, beneficiaries, and project-related financial information.
C2300774		In February 2023, OIG received information from a sub-awardee who reported that their contract to provide consultants was suspended with no notice or explanation.
C2300808		In February 2023, OIG received information from an implementer alleging that an employee was using an Emergency Assistance for Conflict-Affected IDPs and Communities in Ukraine Program vehicle for his/her own use.
C2301271		In April 2023, OIG received a disclosure from an implementer that a third-party monitor reported wrongful termination by their sub-implementer to cover up poor performance issues and fraudulent behavior.
P2300361	Sexual Exploitation & Abuse	In December 2022, OIG received information from an implementer alleging that a UN OCHA staff member was implicated in sexual exploitation and abuse violations approximately a year ago while previously serving in other countries.

LA-H0-22-1593-G	Dual employment	In July 2022, OIG received information from an implementer alleging that an employee managing an activity was also working as an independent consultant for another non-profit organization operating in Ukraine. The employee was placed on administrative leave pending the results of the implementer's investigation into dual employment.
C2300760		In February 2023, OIG received information from an implementer alleging a conflict of interest in connection with a USAID-funded program in Ukraine. The allegation claimed that an employee was working for another organization at the same time, which was not disclosed. The implementer confirmed the allegation and the subject was terminated from employment.
C2301197, C2301198, C2301201, C2301204, C2301206, C2301219, C2301223, C2301226	Missing salary	In March 2023, an IO reported that eight people working under the Ministry of Health, which they are funding directly, were not paid for several months.
C2301950	Bribery/Gratuity/ Kickback	In June 2023, OIG received information from an implementer alleging that a partner organization had requested bribes from beneficiaries receiving multi-purpose cash assistance. The allegation was unsubstantiated.
<b>Moldova</b>		
AF-FR-22-1088-G	Conflict of Interest/Ethics	In April 2022, OIG received information alleging that an employee of an implementer gave an unfair competitive advantage to thirteen local firms. There were no allegations of bribery or kickbacks.

\*USAID OIG does not publicly release information in connection with an open investigation.